

Rexford Capital, Inc. is an investment advisor registered with the Securities and Exchange Commission. We are a fee-only fiduciary. Our investment advisory services and fees can differ from those of a broker-dealer, and it is important to understand those differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me? Rexford Capital provides advisory accounts and services, rather than brokerage accounts and services, to retail investors through asset management services and financial planning & consulting services.

Asset Management Services: Rexford Capital provides asset management services to you by managing and trading in your designated accounts. We will discuss and design a strategy to try and achieve your investment goals. We will continuously monitor when providing asset management services as part of our standard service. For more information, please see Item 4 of our Form ADV Part 2A (the brochure). Currently, all our accounts are managed on a discretionary basis where you give us authority to make trades when we feel it is appropriate, without consulting you in advance.

Financial Planning & Consulting: This involves helping you set your long-term goals and recommending actions designed to help you achieve them. We may advise on employer 401(k) options or retirement choices. We provide advice on a continuing basis to help you achieve your financial goals.

Our portfolio contains domestic equity, international equity, and fixed-income securities for affluent individuals, corporations, retirement plans, and trusts.

We may limit our selections to investments that are available on our custodians' platform. We do not offer advice or recommend any proprietary products. We predominantly buy Exchange Traded Securities. We work directly for our clients on a fee-only basis and do not receive any fees or commissions from any of the financial products that may be held in your account.

Although we do not have an account minimum to open, we may charge an account minimum fee to manage your account. This fee is based on the total relationship, not individual accounts, and may be waived. All services can be cancelled by either party without penalty.

For additional information on our services and types of clients, please see our Form ADV Part 2A Brochure, Items 4 and 7, which can be found at <https://www.rexfordcapital.com>.

Conversation Starters - "Given my financial situation, should I choose an investment advisory service? Why or why not?" "How will you choose investments to recommend to me?"

What fees will I pay? Fees for our services are based upon a percentage of assets under our management, billed in advance on a quarterly basis, calculated on the fair market value of your account including accrued dividends on the last business day of the quarter. Fees are debited from your account in arrears, meaning AFTER

services have been provided. Because our fees are based upon your assets under management, we have an incentive to recommend that you increase the level of assets in your account. We charge our fee if we do or do not buy or sell assets in your account, and if our trades are profitable or not. For more information on the

fees we charge you, please see Item 5 of our Form ADV Part 2A. We may charge a fixed fee or an hourly fee for certain services beyond the scope of asset management, as necessary. When engaging us for services, you will also incur other fees and expenses. Your accounts are held at a qualified custodian, who might charge you transaction fees for certain trades or services.

Fees will reduce any amount of money you make on the investments over time. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

“Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means: You might bring your 401(k) account to us for management services; however, your employer

may charge less in fees than we do, hence potentially lowering your performance. When employees invest for their own accounts, conflicts may arise between the trade we place for our clients and the trades we place in our own accounts. We have adopted a personal account trading policy for using block trades designed to address this conflict.

For additional information on our fees and conflicts on interests, please see our Form ADV Part 2A Brochure, Item 5 and 6, which can be found at <https://www.rexfordcapital.com>.

“How might your conflicts of interest affect me, and how will you address them?”

How do your financial professionals make money?

The financial professionals responsible for the investment decisions receive a combination of base salary and discretionary ownership dividends or employee bonuses. Total compensation is based on the individual’s contribution to the firm and the firm’s accomplishments.

“As a financial professional, do you have any disciplinary history? For what type of conduct?”

Do you or your financial professionals have legal or disciplinary history?

No, Rexford Capital and its employees do not have any legal or disciplinary history. Please visit Investor.gov/CRS for a free and simple search tool to research our financial professionals.

“Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?”

Please visit our website <http://www.rexfordcapital.com> for additional information about our services or call us at 713-838-8383 or toll free 1-800-838-5583. You can obtain an up-to-date copy of this Client Relationship Summary (CRS) on our website or by emailing us at info@rexfordcapital.com.